

ASSIGNMENT

For valuable consideration, we, HSUAN-YIN LAN-HARGEST of Fallston, MD,
ROBERT J. KAUFMAN of St. Louis, MO, and NORBERT L. WIECH of Phoenix, MD, hereby
assign to:

CircaGen Pharmaceutical, a Delaware corporation and its successors and assigns (collectively
hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the
inventions and improvements which are subject of an application for United States Patent signed
by us, entitled HISTONE DEACETYLASE INHIBITORS, filed March 27, 2001, and assigned
U.S. Serial Number 09/812,940, and we authorize and request the attorneys appointed in said
application to hereafter complete this assignment by inserting above the filing date and serial
number of said application when known; this assignment including said application, any and all
United States and foreign patents, utility models, and design registrations granted for any of said
inventions or improvements, and the right to claim priority based on the filing date of said
application under the International Convention for the Protection of Industrial Property, the
Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like
purposes; and we authorize the Assignee to apply in all countries in our name or in its own name
for patents, utility models, design registrations and like rights of exclusion and for inventors'
certificates for said inventions and improvements; and we agree for ourselves and our respective
heirs, legal representatives and assigns, without further compensation to perform such lawful acts
and to sign such further applications, assignments, Preliminary Statements and other lawful
documents as the Assignee may reasonably request to effectuate fully this assignment.

10025947-122601

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled HISTONE DEACETYLASE INHIBITORS, the specification of which:

☐ is attached hereto.

☒ was filed on March 27, 2001 as Application Serial No. 09/812,940 and was amended on _____

☐ was described and claimed in PCT International Application No. _____ filed on _____ and as amended under PCT Article 19 on _____

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Harold H. Fox, Reg. No.41,498
John F. Hayden, Reg. No. 37,640
William D. Hare, Reg. No. 44,739
Heather Morin, Reg. No.37,336
John W. Freeman, Reg. No. 29,066

Phyllis K. Kristal, Reg. No. 38,524
Christine P. Bellon, Reg. No. 41,611
John J. Gagel, Reg. No. 33,499
Robert C. Nabinger, Reg. No. 33,431

Address all telephone calls to HAROLD H. FOX at telephone number (202) 783-5070.

Address all correspondence to HAROLD H. FOX at:

FISH & RICHARDSON P.C.
601 Thirteenth Street, NW
Washington, DC 20005

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: HSUAN-YIN LAN-HARGEST

Inventor's Signature:

Residence Address:

Citizenship:

Post Office Address:

Fallston, MD

United States

2901 Sedgefield Court
Fallston, MD 21047

Date:

May 20, 2001

10025947-122601

Combined Declaration and Power of Attorney
Page 2 of 2 Pages

Full Name of Inventor: ROBERT J. KAUFMAN

Inventor's Signature: Robert J. Kaufman Date: 5/14/01
Residence Address: 8129 Stanford Avenue
Citizenship: United States
Post Office Address: St. Louis, MO 63130

Full Name of Inventor: NORBERT L WIECH

Inventor's Signature: _____ Date: _____
Residence Address: 10 Overshot Court
Citizenship: United States
Post Office Address: Phoenix, MD 21131

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10025947-122601

IN WITNESS WHEREOF, I hereto set my hand and seal at Phoenix, MD,
this 0th day of August, 2001
Hsuan-Yin Lan-Hargest L.S.
HSUAN-YIN LAN-HARGEST

State of Maryland :
County of Eastern : SS.

Before me this 8th day of August, 2021, personally appeared HSUAN-YIN LAN-HARGEST known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public

My Commission Expires: 1/1/03

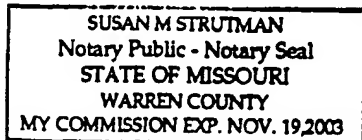
[Notary's Seal Here]

100 Years of the 1917 Revolution

IN WITNESS WHEREOF, I hereto set my hand and seal at St. Louis MO,
this 8 day of August, 2001
Robert J. Kauf L.S.
ROBERT J. KAUFMAN

State of MO :
: ss.
County of St. Louis :

Before me this 8th day of August, 2001, personally
appeared ROBERT J. KAUFMAN known to me to be the person whose name is subscribed to
the foregoing Assignment and acknowledged that he executed the same as his free act and deed
for the purposes therein contained.



Susan M Strutman
Notary Public
My Commission Expires: 11-19-2003

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at Phoenix, MD.,
this 8th day of August, 2001
Norbert L. Wiech L.S.
NORBERT L. WIECH

State of Maryland :
: ss.
County of Baltimore :

Before me this 8th day of August, 2001, personally
appeared NORBERT L. WIECH known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Linda Delicak
Notary Public
My Commission Expires: 1/1/03

[Notary's Seal Here]



1-338-003001
HHF
CX4
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

OCTOBER 24, 2001

PTAS

FISH & RICHARDSON P.C.
HAROLD H. FOX
601 THIRTEENTH STREET, N.W.
WASHINGTON, D.C. 20005



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JC955 U.S. PTO
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12/26/01

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/21/2001

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NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
LAN-HARGEST, HSUAN-YIN

DOC DATE: 08/08/2001

ASSIGNOR:
KAUFMAN, ROBERT J.

DOC DATE: 08/08/2001

ASSIGNOR:
WIECH, NORBERT L.

DOC DATE: 08/08/2001

ASSIGNEE:
CIRCAGEN PHARMACEUTICAL
P.O. BOX 99
PHOENIX, MARYLAND 21131

SERIAL NUMBER: 09812940
PATENT NUMBER:

FILING DATE: 03/27/2001
ISSUE DATE:

RECEIVED

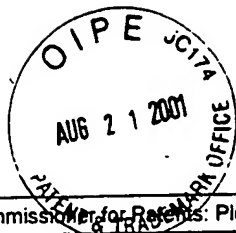
OCT 29 2001

* No Docketing Required *	
Reviewed by	SYSTEMS
JMG	
Noted by	Secretary
Initials: _____	

FISH & RICHARDSON, P.C.
WASHINGTON, D.C.
PRACTICE SYSTEMS

012089/0541 PAGE 2

ALLYSON PURNELL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



RE

08-24-2001



101823395

Substitute Form PTO-1595
Attorney Docket No.: 12938-003001

EET

Commissioner for Patents: Please record the attached original document(s) or copy(ies).

1. Name of conveying party(ies):

Hsuan-Yin Lan-Hargest, Robert J. Kaufman and
Norbert L. WiechAdditional name(s) attached? ☐ Yes ☒ No 08/21/01

2. Name and address of receiving party(ies):

CircaGen Pharmaceutical
P.O. Box 99
Phoenix, MD 21131

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

Execution Date: 08/08/2001

Additional names/addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No(s):

09/812,940

B: Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

HAROLD H. FOX
Fish & Richardson P.C.
601 Thirteenth Street, NW
Washington, DC 20005

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR §3.41): \$40

- ☒ Enclosed
☐ Authorized to charge Deposit Account.

8. Deposit Account No.: 06-1050

Please apply any additional charges, or any credits, to our
Deposit Account No. 06-1050.

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9. Statement and Signature: *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Harold H. Fox

Reg. No. 41,498

Name of Person Signing

Signature

Date

Total number of pages including coversheet, attachments and document: 5

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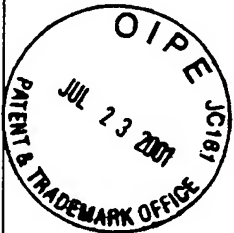
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Attorney's Docket No. 12938-003001	Express Mail Label No.	Delivery Date July 23, 2001	For PTO Use Only <i>Do Not Mark in This Area</i>
Application No. 09/812,940	Filing Date March 27, 2001	Attorney/Secretary Init HHF/HHF/nbc	
Title of the Invention HISTONE DEACETYLASE INHIBITORS			
Applicant Huan-Yin Lan-Hargest et al.			
Enclosures <ul style="list-style-type: none"> * Response to Notice to File Missing Parts (1 page) * Copy of Notice to File Missing Parts (1 page) * Combined Declaration and Power of Attorney (3 pages) * Information Disclosure Statement (1 page) * Form PTO 1449 (6 pages) (140 references) 			



JK.